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## On the Generalized Spencer Cohomology of Some Graded Lie Algebras I

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### 階別リー環の一般化されたスペンサーコホモロジーについて I

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**ABSTRACT.** We consider maximal parabolic subalgebras  $\mathfrak{p}$  of finite dimensional classical simple irreducible graded Lie algebras  $\mathfrak{s}$  over  $\mathbb{C}$ , and give the description of the generalized Spencer cohomology space of the graded subalgebra  $\mathfrak{p}$  of  $\mathfrak{s}$ .

**Introduction.** Let  $\mathfrak{s} = \bigoplus_{p \in \mathbb{Z}} \mathfrak{s}_p$  be a finite dimensional simple graded Lie algebra (SGLA) over  $\mathbb{C}$  such that: (i)  $\mathfrak{s}_{-1} \neq 0$ ; (ii) the negative part  $\mathfrak{s}_- = \bigoplus_{p < 0} \mathfrak{s}_p$  is generated by  $\mathfrak{s}_{-1}$ ; (iii)  $\mathfrak{s}$  is of classical type. Further let  $\mathfrak{p}$  be a parabolic subalgebra of  $\mathfrak{s}$  containing  $\bigoplus_{p \leq 0} \mathfrak{s}_p$ , and  $\mathfrak{n}$  (resp.  $l$ ) the nilradical (resp. a reductive Levi subalgebra) of  $\mathfrak{p}$ . Our main concern is to describe the cohomology space  $H^*(\mathfrak{s}_-, \mathfrak{p}) = \bigoplus_{s \in \mathbb{Z}} H^*(\mathfrak{s}_-, \mathfrak{p})_s$ , which is called the generalized Spencer cohomology space of the graded Lie algebra  $\mathfrak{p}$ . It is known that the  $p$ -th cohomology space  $H^p(\mathfrak{s}_-, \mathfrak{p})$  is isomorphic to  $\bigoplus_{i=0}^p H^{p-i}(l_-, H^i(\mathfrak{n}, \mathfrak{p}))$  as an  $l_0$ -module, where  $l_- = l \cap \mathfrak{s}_-$  and  $l_0 = l \cap \mathfrak{s}_0$ . Therefore by Kostant's theorem ([Kos 61, Th. 5. 14]), our problem is reduced to that of describing the space  $H^i(\mathfrak{n}, \mathfrak{p})$ .

The purpose of this note is to describe the spaces  $H^1(\mathfrak{n}, \mathfrak{p})$  and  $H^2(\mathfrak{n}, \mathfrak{p})$  in case  $\mathfrak{s} = \bigoplus_{p \in \mathbb{Z}} \mathfrak{s}_p$  is irreducible and  $\mathfrak{p}$  is a maximal parabolic subalgebra of  $\mathfrak{s}$ . The details of this note will be published elsewhere.

§ 1. Let  $\mathfrak{s} = \bigoplus_{p \in \mathbb{Z}} \mathfrak{s}_p$  be a finite dimensional SGLA over  $\mathbb{C}$  satisfying the following conditions:

- (P1)  $\text{rank } \mathfrak{s} \geq 2$ ;
- (P2)  $\mathfrak{s}_{-1} \neq 0$  and the negative part  $\mathfrak{n} := \bigoplus_{p < 0} \mathfrak{s}_p$  of  $\mathfrak{s}$  is generated by  $\mathfrak{s}_{-1}$ ;
- (P3)  $\mathfrak{s} = \bigoplus_{p \in \mathbb{Z}} \mathfrak{s}_p$  is irreducible, that is, the  $\mathfrak{s}_0$ -module  $\mathfrak{s}_{-1}$  is irreducible;
- (P4)  $\mathfrak{s}$  is of classical type.

Then the subalgebra  $\mathfrak{p} = \bigoplus_{p \leq 0} \mathfrak{s}_p$  of  $\mathfrak{s}$  is maximal parabolic and  $\mathfrak{n}$  (resp.  $l$ ) is the nilradical (resp. a reductive Levi subalgebra) of  $\mathfrak{p}$ , where  $l = \mathfrak{s}_0$ . Let  $\mathfrak{h}$  be a Cartan subalgebra of  $\mathfrak{s}$  contained in  $\mathfrak{s}_0$  and  $\Delta$  the root system of  $(\mathfrak{s}, \mathfrak{h})$ . For a  $\mathfrak{h}$ -stable subspace  $\mathfrak{a}$  of  $\mathfrak{s}$ , we denote by  $\Delta(\mathfrak{a})$  the set of all the roots in which the corresponding root vectors are contained in  $\mathfrak{a}$ . Then there exist a simple root system  $\Pi = \{\alpha_1, \dots, \alpha_l\}$  ( $l = \text{rank } \mathfrak{s}$ ) of  $\Delta$  and an  $l$ -tuple  $\mathbf{s} = (s_1, \dots, s_l)$  of non-negative integers such that  $\Delta(\mathfrak{s}_p) = \{\alpha$

$\in \Delta: \ell_s(\alpha) = p\}$ , where  $\ell_s(\sum k_i \alpha_i) = \sum k_i s_i$ . If  $\mathfrak{s}$  is a simple Lie algebra of type  $X_L$ , then the SGLA  $\mathfrak{s} = \bigoplus_{p \in \mathbb{Z}} \mathfrak{s}_p$  is said to be of type  $(X_L, \mathfrak{s})$ . In what follows, we label the Dynkin diagram as in [Bou 68].

Let  $V = \bigoplus_{p \in \mathbb{Z}} V_p$  be a graded  $\mathfrak{n}$ -module (i. e.,  $V$  is a vector space with a gradation  $(V_p)_{p \in \mathbb{Z}}$  such that  $\mathfrak{s}_p \cdot V_q \subset V_{p+q}$ ),  $C^p(\mathfrak{n}, V) = \text{Hom}(\wedge^p \mathfrak{n}, V)$  the  $p$ -chains of the  $\mathfrak{n}$ -module and  $H^p(\mathfrak{s}, V)$  the space of the  $p$ -th cohomology with respect to the coboundary operator  $\partial$ . The gradations of  $\mathfrak{n}$  and  $V$  define a gradation in the space of cochains:

$$C^p(\mathfrak{n}, V) = \bigoplus_{s \in \mathbb{Z}} C^p(\mathfrak{n}, V)_s,$$

where  $C^p(\mathfrak{n}, V)_s = \{\omega \in C^p(\mathfrak{n}, V): \omega(\mathfrak{s}_{i_1} \wedge \cdots \wedge \mathfrak{s}_{i_p}) \subset V_{i_1 + \cdots + i_p + s}, i_1, \dots, i_p < 0\}$ . This gradation is compatible with the coboundary operator  $\partial$ , and we obtain a gradation of the cohomology space:

$$H^p(\mathfrak{n}, V) = \bigoplus_{s \in \mathbb{Z}} H^p(\mathfrak{n}, V)_s.$$

Furthermore if  $V$  has a  $\mathfrak{p}$ -module structure, then a  $\mathfrak{p}$ -module structure on  $C^p(\mathfrak{n}, V)$  can be introduced in the natural way. Since the coboundary operator  $\partial$  is a  $\mathfrak{p}$ -module homomorphism, the space  $H^p(\mathfrak{n}, V)$  also acquires a  $\mathfrak{p}$ -module structure. In particular, each  $H^p(\mathfrak{n}, V)_s$  is an  $I$ -submodule of  $H^p(\mathfrak{n}, V)$ . In case  $V$  is an irreducible  $\mathfrak{s}$ -module, according to Kostant's theorem, we can decompose  $H^p(\mathfrak{n}, V)$  into a direct sum of irreducible  $I$ -modules. In particular, we can obtain the description of the cohomology space  $H^p(\mathfrak{n}, \mathfrak{s})$ . In § 2, we will give the description of the space  $H^i(\mathfrak{n}, \mathfrak{p})$  ( $i=1, 2$ ) by using the spaces  $H^i(\mathfrak{n}, \mathfrak{s})$  and well-known  $I_0$ -modules. In what follows, for  $I$ -modules  $M$  and  $N$ ,  $M \cong N$  will mean that  $M$  and  $N$  are isomorphic to each other.

§ 2. Let  $\mathfrak{s}, \mathfrak{p}$  and  $\mathfrak{n}$  be as in § 1. Assume that  $\mathfrak{s} = \bigoplus_{p \in \mathbb{Z}} \mathfrak{s}_p$  is of depth  $\nu$ , that is,  $\mathfrak{s}_{-\nu} \neq 0$  and  $\mathfrak{s}_p = 0$  for  $p < -\nu$ . Let  $W$  be the Weyl group of  $\mathfrak{s}$  and  $\Delta_+$  the set of positive roots. Now we introduce the following notations:

$$\begin{aligned} T_w &= w\Delta_- \cap \Delta_+ \quad (w \in W), \\ W^j(\mathfrak{s}) &= \{w \in W: \#(T_w) = j, T_w \subset \Delta(\mathfrak{s}_+)\}, \\ W^j(\mathfrak{p}) &= \{w \in W^j(\mathfrak{s}): -w\theta \in \Delta(\mathfrak{p})\}, \\ \varepsilon_i &= (\delta_{1i}, \dots, \delta_{li}), \end{aligned}$$

where  $\Delta_- = \Delta \setminus \Delta_+$  and  $\theta$  is the highest root of  $\mathfrak{s}$ . Let

$$0 \longrightarrow \mathfrak{p} \xrightarrow{\phi} \mathfrak{s} \xrightarrow{\psi} \mathfrak{s}/\mathfrak{p} \longrightarrow 0$$

be a short exact sequence, where  $\phi$  is an embedding and  $\psi$  is the natural homomorphism. This sequence generates a long exact sequence,

$$\cdots \longrightarrow H^{j-1}(\mathfrak{n}, \mathfrak{s}/\mathfrak{p}) \xrightarrow{\delta^{j-1}} H^j(\mathfrak{n}, \mathfrak{p}) \xrightarrow{\phi^j} H^j(\mathfrak{n}, \mathfrak{s}) \xrightarrow{\psi^j} H^j(\mathfrak{n}, \mathfrak{s}/\mathfrak{p}) \longrightarrow \cdots$$

By Kostant's theorem, if  $W^j(\mathfrak{p}) = W^j(\mathfrak{s})$  (resp.  $W^j(\mathfrak{p}) = \emptyset$ ), then  $\text{Im } \phi_j^* = H^j(\mathfrak{n}, \mathfrak{s})$  (resp.  $\text{Im } \phi_j^* = 0$ ). Since  $W^1(\mathfrak{s}) = W^1(\mathfrak{p})$ , we get the following lemma:

**Lemma 1.** (1)  $H^1(\mathfrak{n}, \mathfrak{p})_1 \cong \mathfrak{s}_1 \oplus H^1(\mathfrak{n}, \mathfrak{s})_1$ ;  
 (2)  $H^1(\mathfrak{n}, \mathfrak{p})_s \cong H^1(\mathfrak{n}, \mathfrak{s})_s$  for all  $s \neq 1$ ;

(3)  $H^2(\mathfrak{n}, \mathfrak{p})_s \cong (\text{Im } \phi_2^*)_s \oplus H^1(\mathfrak{n}, \mathfrak{s}/\mathfrak{p})_s$ , where  $(\text{Im } \phi_2^*)_s = \text{Im } \phi_2^* \cap H^2(\mathfrak{n}, \mathfrak{s})_s$ .

Next we investigate the space  $H^2(\mathfrak{n}, \mathfrak{p})$ . First note that  $\mathfrak{s}/\mathfrak{p}$  is isomorphic to  $\mathfrak{n}^*$  as a  $\mathfrak{p}$ -module and  $H^1(\mathfrak{n}, \mathfrak{s}/\mathfrak{p})_s = 0$  for  $s \leq 1$ . Further we see that  $W^2(\mathfrak{s}) = W^2(\mathfrak{p})$  if one of the following conditions holds:

- (1) rank  $\mathfrak{s} \geq 3$ ;
- (2)  $(X_l, \mathfrak{s}) \neq (A_2, \varepsilon_1), (C_2, \varepsilon_2)$ .

On the other hand, if  $(X_l, \mathfrak{s})$  is either  $(A_2, \varepsilon_1)$  or  $(C_2, \varepsilon_2)$ , then  $W^2(\mathfrak{p}) = \emptyset$  and  $H^2(\mathfrak{n}, \mathfrak{s})_s = 0$  for  $s \neq 3$ . Hence, by Lemma 1 (3), we have the following lemma:

**Lemma 2.** (1)  $H^2(\mathfrak{n}, \mathfrak{p})_s \cong H^2(\mathfrak{n}, \mathfrak{s})_s$  for  $s \leq 1$ .

(2) If  $\nu = 1$ , then  $H^2(\mathfrak{n}, \mathfrak{p})_2 \cong H^2(\mathfrak{n}, \mathfrak{s})_2 \oplus H^1(\mathfrak{n}, \mathfrak{s}/\mathfrak{p})_2$  and  $H^2(\mathfrak{n}, \mathfrak{p})_s = 0$  for  $s \geq 3$ .

(3) If  $\nu \geq 2$ , then  $H^2(\mathfrak{n}, \mathfrak{p})_s \cong H^2(\mathfrak{n}, \mathfrak{s})_s \oplus H^1(\mathfrak{n}, \mathfrak{s}/\mathfrak{p})_s$  for  $s \geq 2$ .

We consider the spaces  $H^1(\mathfrak{n}, \mathfrak{s}/\mathfrak{p})_s$ . According to Theorem 4.1 in [LL 74, Th. 4.1], we obtain the following exact sequence

$$0 \longrightarrow H^0(\mathfrak{n}, S^2(\mathfrak{n}^*)) \longrightarrow H^1(\mathfrak{n}, \mathfrak{n}^*) \longrightarrow H^2(\mathfrak{n}, \mathbf{C}) \longrightarrow 0.$$

Hence

$$\begin{aligned} \text{ch}_l(H^1(\mathfrak{n}, \mathfrak{s}/\mathfrak{p})) &= \text{ch}_l(H^1(\mathfrak{n}, \mathfrak{n}^*)) \\ &= \text{ch}_l(H^0(\mathfrak{n}, S^2(\mathfrak{n}^*))) + \text{ch}_l(H^2(\mathfrak{n}, \mathbf{C})). \end{aligned}$$

Also we can easily see that:

- (i)  $H^0(\mathfrak{n}, S^2(\mathfrak{n}^*))_2 = S^2(\mathfrak{s}_{-1}^*)$ ;
- (ii)  $\text{ch}_l(H^2(\mathfrak{n}, \mathbf{C})_2) = \text{ch}_l(\wedge^2 \mathfrak{s}_{-1}^*) - \text{ch}_l(\mathfrak{s}_{-2}^*)$ .

Now we assume  $\nu \geq 2$ . Let

$$0 \longrightarrow \mathfrak{p}/\mathfrak{n} \longrightarrow \mathfrak{s}/\mathfrak{n} \longrightarrow \mathfrak{s}/\mathfrak{p} \longrightarrow 0$$

be a short exact sequence of  $(\mathfrak{z}(l) + \mathfrak{n})$ -modules, where  $\mathfrak{z}(l)$  is the center of  $l$ . This sequence generates a long exact sequence

$$\cdots \longrightarrow H^1(\mathfrak{n}, \mathfrak{p}/\mathfrak{n})_s \longrightarrow H^1(\mathfrak{n}, \mathfrak{s}/\mathfrak{n})_s \longrightarrow H^1(\mathfrak{n}, \mathfrak{s}/\mathfrak{p})_s \longrightarrow H^2(\mathfrak{n}, \mathfrak{p}/\mathfrak{n})_s \longrightarrow \cdots$$

Since the  $(\mathfrak{z}(l) + \mathfrak{n})$ -module  $\mathfrak{p}/\mathfrak{n}$  is trivial,  $H^2(\mathfrak{n}, \mathfrak{p}/\mathfrak{n})_s$  is isomorphic to a direct sum of  $\dim \mathfrak{p}/\mathfrak{n}$ -copies of  $H^2(\mathfrak{n}, \mathbf{C})_s$  as an  $\mathfrak{n}$ -module. Also  $H^1(\mathfrak{n}, \mathfrak{s}/\mathfrak{n})_s = 0$  for  $s \geq 3$  ([Kha 90, p.111]). Therefore, if  $H^2(\mathfrak{n}, \mathbf{C})_s = 0$  ( $s \geq 3$ ), then

$$\text{ch}_l(H^2(\mathfrak{n}, \mathfrak{p})_s) = \text{ch}_l(H^2(\mathfrak{n}, \mathfrak{s})_s) \quad (s \geq 3).$$

By Kostant's theorem, in order that  $H^2(\mathfrak{n}, \mathbf{C})_s \neq 0$  for some  $s \geq 3$ , it is necessary that the pair  $(X_l, \mathfrak{s})$  be either  $(C_l, \varepsilon_{l-1})$  or  $(B_l, \varepsilon_l)$ . In this case, we have

**Lemma 3.** Assume that  $\nu \geq 2$ . Then:

- (1) Let  $(X_l, \mathfrak{s}) = (C_l, \varepsilon_{l-1})$ . Then  $H^2(\mathfrak{n}, \mathbf{C})_s = 0$  for  $s \geq 4$  and  $H^0(\mathfrak{n}, S^2(\mathfrak{n}^*))_3 = 0$ .
- (2) Let  $(X_l, \mathfrak{s}) = (B_l, \varepsilon_l)$ . Then  $H^2(\mathfrak{n}, \mathbf{C})_s = 0$  for  $s \geq 4$  and  $H^0(\mathfrak{n}, S^2(\mathfrak{n}^*))_3 \cong \wedge^3 \mathfrak{s}_{-1}^*$ .

By summarizing the results of Lemmas 1-3, we get the following main theorem.

**Theorem 4.** Let  $\mathfrak{s} = \bigoplus_{p \in \mathbb{Z}} \mathfrak{s}_p$  be a complex SGLA of type  $(X_l, \mathfrak{s})$ . Assume that  $\mathfrak{s} = \bigoplus_{p \in \mathbb{Z}} \mathfrak{s}_p$  is of depth  $\nu$  and the conditions (P1)–(P4) hold. We set  $\mathfrak{p} = \bigoplus_{p \leq 0} \mathfrak{s}_p$  and  $\mathfrak{n} = \bigoplus_{p < 0} \mathfrak{s}_p$ . Then:

- (1)  $H^1(\mathfrak{n}, \mathfrak{p})_s \cong H^1(\mathfrak{n}, \mathfrak{s})_s$  for all  $s \neq 1$ ;
- (2)  $H^1(\mathfrak{n}, \mathfrak{p})_1 \cong H^1(\mathfrak{n}, \mathfrak{s})_1 \oplus \mathfrak{s}_1$ ;
- (3)  $H^2(\mathfrak{n}, \mathfrak{p})_s \cong H^2(\mathfrak{n}, \mathfrak{s})_s$  for all  $s \leq 1$ ;
- (4)  $H^2(\mathfrak{n}, \mathfrak{p})_2 \cong H^2(\mathfrak{n}, \mathfrak{s})_2 \oplus \bigotimes_0^2 \mathfrak{s}_{-1}^*$ , where  $\bigotimes_0^2 \mathfrak{s}_{-1}^*$  is the 1-submodule of  $\bigotimes^2 \mathfrak{s}_{-1}^*$  such that  $\text{ch}_1(\bigotimes_0^2 \mathfrak{s}_{-1}^*) = \text{ch}_1(\bigotimes^2 \mathfrak{s}_{-1}^*) - \text{ch}_1(\mathfrak{s}_{-2}^*)$ ;
- (5) In case  $\nu = 1$ ,  $H^2(\mathfrak{n}, \mathfrak{p})_s = 0$  for  $s \geq 3$ ;
- (6) In case  $\nu \geq 2$  and except for the case when  $(X_l, \mathfrak{s}) = (C_l, \varepsilon_{l-1}), (B_l, \varepsilon_l)$ , we get  $H^2(\mathfrak{n}, \mathfrak{p})_s \cong H^2(\mathfrak{n}, \mathfrak{s})_s$  for  $s \geq 3$ ;
- (7) Let  $(X_l, \mathfrak{s}) = (C_l, \varepsilon_{l-1})$ . Then  $H^2(\mathfrak{n}, \mathfrak{p})_s \cong H^2(\mathfrak{n}, \mathfrak{s})_s$  for  $s \geq 4$ , and  $H^2(\mathfrak{n}, \mathfrak{p})_3 \cong H^2(\mathfrak{n}, \mathfrak{s})_3 \oplus H^2(\mathfrak{n}, \mathbb{C})_3$ .
- (8) Let  $(X_l, \mathfrak{s}) = (B_l, \varepsilon_l)$ . Then  $H^2(\mathfrak{n}, \mathfrak{p})_s \cong H^2(\mathfrak{n}, \mathfrak{s})_s$  for  $s \geq 4$ , and  $H^2(\mathfrak{n}, \mathfrak{p})_3 \cong H^2(\mathfrak{n}, \mathfrak{s})_3 \oplus (\wedge^2 \mathfrak{s}_{-1}^* \otimes \mathfrak{s}_{-1}^*)$ .

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